

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alkermes, Inc.

Application No./Patent No.: 6,861,016 Filed/Issue Date: March 1, 2005

Entitled: APPARATUS AND METHOD FOR PREPARING MICROPARTICLES

Alkermes, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Lyons, Shawn L. et al. To: Alkermes Controlled Therapeutics Inc. II  
The document was recorded in the United States Patent and Trademark Office at  
Reel 010395, Frame 0299, or for which a copy thereof is attached.
2. From: Alkermes Controlled Therapeutics Inc. II To: Alkermes, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 018207, Frame 0226, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee:

Claire M. Vasios  
Signature

2/5/07  
Date

Claire M. Vasios, Ph.D.  
Printed or Typed Name

(617) 583-6165  
Telephone Number

Vice President of Intellectual Property  
Title